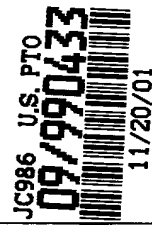




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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A-64580-5/RFT/NBC

Anticipated Classification of  
this Application:

Class: Subclass:

Prior Application:

Examiner: S. Kaushal, Ph.D.

Art Unit: 1633

"EXPRESS MAIL" MAILING LABEL

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DATE OF DEPOSIT November 20, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
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ARLINGTON, VA 22202.

TYPED NAME DARRYL KRINER

SIGNED

United States Patent and Trademark Office  
Box PATENT APPLICATION  
P.O. Box 2327  
Arlington, VA 22202

- Sir:

This is a request for filing a(n)

☐ Original☒ Continuation☐ Divisional☐ Continuation-in-part

application under 37 C.F.R. 1.53(b), in the name of DAVID ZARLING, SUSHMA  
PATI, and ELISSA SENA, DECEASED (Names of ALL Applicants), for IN VIVO  
HOMOLOGOUS SEQUENCE TARGETING IN CELLS (Title of Invention). This ☒  
continuation ☐ divisional ☐ continuation-in-part claims priority to  
application Serial No. 08/910,415 filed August 13, 1997 and application  
Serial No. 09/927,160 filed August 9, 2001, which is a continuation of  
application Serial No. 09/079,877 filed May 15, 1998, which is, in turn,  
a continuation-in-part of application Serial No. 08/910,415 filed August  
13, 1997.

1. (a) ☐ Enclosed is a new application.  
(b) ☐ Enclosed is a continuation-in-part application.  
(c) ☒ Enclosed is a copy of the prior application.
2. (a) ☐ Enclosed is a new Declaration.  
(b) ☒ Enclosed is a copy of the prior executed Declaration as  
originally filed.  
(c) ☐ Enclosed is a Combined Declaration/Power of Attorney.
3. ☐ Applicant claims small entity status. See 37 CFR 1.27.
4. ☒ The filing fee is calculated below:  
Claims as filed in the prior application, less any claims canceled by  
amendment below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$355	OR		\$740.00

TOTAL CLAIMS	<u>10</u> -20 = *	<u>0</u>	x 9 = \$	<u>    </u>	OR	x 18 = \$	<u>    </u>
INDEP CLAIMS	<u>2</u> -3 = *	<u>0</u>	x 40 = \$	<u>    </u>	OR	x 80 = \$	<u>    </u>
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED			+	= \$	OR	= \$	<u>740.00</u>
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL	\$	<u>    </u>	OR TOTAL	\$ <u>740</u>

5. X The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-64580-5/RFT/RMS/AMS).

6. X Our check in the amount of \$740.00 is enclosed.

7. X Cancel in this application original claims 2-48 of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)

8. X Amend the specification by inserting before the first line the sentence:

--This is a X continuation of application Serial No. 08/910,415 filed August 13, 1997 and application Serial No. 09/927,160 filed August 9, 2001, which is a continuation of application Serial No. 09/079,877 filed May 15, 1998, which is, in turn, a continuation-in-part of application Serial No. 08/910,415 filed August 13, 1997.--

9. (a) X Informal drawings are enclosed, 24 sheets, copies as filed in parent application.

(b)      Formal drawings are enclosed,      sheets.

10. (a)      Priority of application Serial No.      filed on      in      is claimed under 35 U.S.C. 119.

(b)      The certified copy has been filed in prior application Serial No.      filed on     .

11.      An Assignment is enclosed.

12. X The prior application is assigned of record to SRI International.

13.      A Power of Attorney by Assignee is enclosed.

14. X The power of attorney in the prior application is to:

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

(name)

SUITE 3400, FOUR EMBARCADERO CENTER

(address)

SAN FRANCISCO, CALIFORNIA 94111-4187

(a) X The power appears in the original papers in the prior application.

(b)\_\_\_ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

(c) X Address all future communications to:

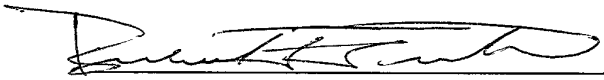
RICHARD F. TRECARTIN, ESQ.  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989

15. X A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
16. \_\_\_\_\_ A Prior Art Statement is enclosed.
17. X I hereby verify that the attached papers are a true duplicate of prior application Serial No. 08/910,415 as originally filed on August 13, 1997.

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Dated: November 20, 2001

  
Richard F. Trecartin,  
Reg. No. 31,801

Flehr Hohbach Test  
Albritton and Herbert LLP  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989